

## DATA PROTECTION NOTICE

### データ保護通知

Eagle Equities Inc. is committed in safeguarding your privacy and ensuring that your personal and account information is protected. The Data Protection Notice sets out the basis which EAGLE EQUITIES, INC. may collect, use, disclose or otherwise process personal data of our customers in accordance with the Data Privacy Act of 2012 (DPA). This applies to all personal information that we collect from you for the purpose of providing you with our products and services.

Eagle Equities Inc.

は、お客様のプライバシーを保護し、お客様の個人情報およびアカウント情報が保護されるように努めています。データ保護通知は、2012年データ保護法（DPA）に従って、Eagle Equities Inc.がお客様の個人情報を収集、利用、開示、またはその他の方法で処理する基準を定めています。これは、弊社の製品およびサービスを提供する目的で弊社がお客様から収集するすべての個人情報に適用されます。

When you use the Eagle Online website (“Website”), we may collect, use, and share your personal information for the processing of your buy, sell, settlement, and other transactions conducted through our Website. We may share and disclose your personal information to third parties such as our parent Company, affiliates, service providers, and regulatory agencies. By giving us your personal information, you acknowledge and agree to the processing of your personal information following statutory and regulatory standards for data protection as well as the practices set out in this Data Privacy Notice.  
Eagle Online Website.

（ウェブサイト）を利用する場合、弊社のウェブサイト上に行われる売買、決済、およびその他の取引の処理の為に、個人情報を収集、利用、および共有する場合があります。弊社は、お客様の個人情報を親会社、関連会社、サービス提供会社、規制当局など第三者に共有および開示する場合があります。個人情報を提供することにより、データ保護のための法的基準および規制基準、ならびにこのデータプライバシー通知に定められた慣行に従って個人情報を処理することを認め、同意します。

Attached is the Data Protection Notice. If you have read and understood the above Data Protection Notice and giving EAGLE EQUITIES, INC. the consent to the collection, use and disclosure of your personal data, please print one attached file below, fill in your name, scan after signing it and sent it by September 30, 2019.

データ保護通知を添付致しました。上記のデータ保護に関する通知をご理解の上、EAGLE EQUITIES, INC.

に個人データの収集、利用、開示に同意する場合は、添付ファイルを印刷し、お名前のご記入の上サインし、スキャンコピーを2019年9月30日までにご送信をお願い致します。

## **DATA PROTECTION NOTICE**

This Data Protection Notice sets out the basis which EAGLE EQUITIES, INC. may collect, use, disclose or otherwise process personal data of our customers in accordance with the Data Privacy Act of 2012 (DPA)

### **AUTHORIZATION AND CONSENT**

1. “Customer” means an individual who has entered into a contract with us to engage in the purchase or sale of securities through the facilities of the Philippine Stock Exchange Inc.
2. Some examples of personal data which we may collect from you include your name and identification information such as your address, contact number, email address, nationality gender date of birth marital status employment information. Social security and other government identification numbers and financial information such as bank account information.
3. We generally do not collect your personal data unless it is provided to us voluntarily by you directly or via third party who has been duly authorized by you to disclose your personal data to us after you or your authorized representative have been notified of the purposes for which the data is collected. We shall seek your consent before collecting any additional personal data and before using your personal data for a purpose which has not been notified to you, except where permitted or authorized by law.
4. We may collect and use your personal data for any or all of the following purposes.
  - a. performing obligations in the course of or in connection with the provision of the services requested by you;
  - b. verifying your identity
  - c. responding to, handling, and processing queries, requests, applications, complaints, and feedback from you.
  - d. managing your relationship with us.
  - e. processing payment or credit transactions.
  - f. complying with any applicable laws, regulations, code of practice, guidelines or rules or to assist in law enforcement and investigations conducted by any governmental and/or regulatory authority.
  - g. any other incidental business purposes related to or in connection with the above.
5. We may disclose your personal data
  - a. where such is requires for performing obligations in the course of or in connection with our provision of services requested by you; or
  - b. to third party service providers, agents, and other organizations we have engaged to perform any of the functions listed in clause 4 above for us.
6. You warrant that all personal data given to EAGLE EQUITIES, INC. are true and correct to the best of your knowledge, freely and voluntarily given for the purposes which are relevant and necessary in providing our services to you.
7. You explicitly authorize EAGLE EQUITIES, INC., its directors, officers, consultants, employees and duly authorized representatives to keep, store, update, use, access, process, and enter in the processing system the data given to it, and to share transfer or disclose the data to third parties such as but not limited to outsourced service providers, banks, external auditors, local and foreign authorities for purposes of tax monitoring, review, and reporting, compliance with court and other lawful order and requirements, with the Securities Regulation Code, with Anti-Money Laundering Act, and all other regulatory laws and all other activities consistent with the provisions of the Data Privacy Act subject to appropriate security safeguard

8. The consent that you provide for the collection, use and disclosure of your personal data will remain valid until such time it is withdrawn by you in writing.
9. You have the right to access your given information and you undertake to correct, rectify or supplement information should any data be found to be inaccurate or incomplete
10. You agree to release and hold free and harmless EAGLE EQUITIES, INC. its directors, officers, and employee from any liability that may arise as a result of the authorization given.

I acknowledge that I have read and understood the above Data Protection Notice, and consent to the collection, use and disclosure of my personal data by EAGLE EQUITIES, INC. for the purposes set out in the Notice.

Name of client: \_\_\_\_\_

Signature & Date: \_\_\_\_\_

**Foreign Account Tax Compliance Act (FATCA)**

**外国口座税務コンプライアンス法 (FATCA)**

If you are a US Citizen, please print one FATCA form attached file below, fill in your name, scan after signing it and sent it by September 23, 2019.

お客様が米国籍の場合、添付のFATCAフォームを印刷し、お名前のご記入の上サインし、スキャンコピーを2019年9月30日までにご送信をお願い致します。

If not, kindly disregard this document.

該当しない場合、こちらの添付ファイルを無視して下さい。

**Foreign Account Tax Compliance Act (FATCA)  
Due Diligence Form (Personal)**

Please provide complete details and indicate NOT APPLICABLE to items/sections as deemed appropriate

**Customer Code** \_\_\_\_\_ **Account Name:** \_\_\_\_\_

<b>Questions:</b>	<b>YES</b>	<b>NO</b>
1. Are you an American Citizen?	_____	_____
2. Do you have dual citizenship? If YES Please indicate citizenships. a. _____ b. _____	_____	_____
3. Are you a permanent resident of the US/green card holder?	_____	_____
4. Were you born in the U.S.?	_____	_____
5. Do you have a residence address in the U.S.?	_____	_____
6. Do you have a phone number in the U.S.	_____	_____
7. Do you have any instructions to transfer funds to an account in the U.S.	_____	_____
8. Have you been to the U.S. in the last 3 years?	_____	_____

If the answers YES to any questions stated above, kindly specify require applicable information below:

1. Name: \_\_\_\_\_
2. U.S. Complete Address: \_\_\_\_\_
3. U.S. Telephone number: \_\_\_\_\_
4. U.S. TIN or SSS Number: \_\_\_\_\_
5. U.S. P.O. Box: \_\_\_\_\_
6. Standing instructions to pay amount/transfer funds to an account maintained in the United States  
\_\_\_\_\_
7. Current Power of Attorney or signatory granted to a person with U.S. address \_\_\_\_\_
8. An "in care"-of address or "hold mail" U.S. address \_\_\_\_\_
9. Length of stay in the U.S.  
Current Year: \_\_\_\_\_ Last Year: \_\_\_\_\_ Prior to Last Year \_\_\_\_\_  
No. of days: \_\_\_\_\_ No. of days: \_\_\_\_\_ No. of days: \_\_\_\_\_ Total length of Stay: \_\_\_\_\_

**Certified true and correct:**

\_\_\_\_\_  
**Client signature over printed name**

\_\_\_\_\_  
**Date**